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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Atto (ma	rney Docke	t No. <u>42390P9878</u>					
Firs	t Named Inv	entor Manoj Khare et al.					
Title: A Mechanism For Efficiently Supporting The Full MESI (Modified, Exclusive, Shared, Invalid) Protocol In A Cache Coherent Multi-Node Shared Memory System							
Ехр	ress Mail La	ibel No. <u>EL580086899US</u>					
ADD	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231						
		ELEMENTS upter 600 concerning utility patent application contents.					
1.	<u>x</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)					
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)					
3.	<u>X</u>	Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure					
4.	<u>x</u>	Drawings(s) (35 USC 113) (Total Sheets 9 _)					
5.	<u>_x</u>	Oath or Declaration (Total Pages <u>6</u> )					
		a Newly Executed (Original or Copy)					
		b Copy from a Prior Application (37 CFR 1.63(d))  (for Continuation/Divisional with Box 17 completed)					
		<ul> <li>i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>					
		c. X Unsigned.					
6.		Application Data Sheet. (37 CFR 1.76)					
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
8.	(if applicable a.	Nucleotide and/or Amino Acid Sequence Submission , all necessary)  Computer Readable Form (CRF)					
	b c	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies					

Docket No.: 42390P9878

Express Mail No.: EL580086899US

Accompanying application parts  9 Assignment Papers (cover sheet & documents(s)) 10 a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)     b. Power of Attorney  11 English Translation Document (if applicable) 12 a. Information Disclosure Statement (IDS)/PTO-1449     b. Copies of IDS Citations 13 Preliminary Amendment 14 X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15 Certified Copy of Priority Document(s) (if foreign priority is claimed)					
10 a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  b. Power of Attorney  11 English Translation Document (if applicable)  12 a. Information Disclosure Statement (IDS)/PTO-1449  b. Copies of IDS Citations  13 Preliminary Amendment  14 X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
11 English Translation Document (if applicable)  12 a. Information Disclosure Statement (IDS)/PTO-1449  b. Copies of IDS Citations  13 Preliminary Amendment  14X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
12 a. Information Disclosure Statement (IDS)/PTO-1449  b. Copies of IDS Citations  13 Preliminary Amendment  14X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
b. Copies of IDS Citations  13 Preliminary Amendment  14X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
13. Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
15 Certified Copy of Priority Document(s) (if foreign priority is claimed)					
continue copy of the may be defined by					
16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
17 Other:					
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:					
Continuation Divisional Continuation-in-part (CIP) Of Prior Application No.: Examiner Group Art Unit					
(which is a continuation/ divisional/ CIP of prior application no, which is a continuation/ divisional/ CIP of prior application no, (List entire chain of priority)					
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application:  The undersigned states that					
19. Correspondence Address					
Customer Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label here)  X Correspondence Address Below  NAME					
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
ADDRESS 12400 Wilshire Boulevard					
Seventh Floor					
CITY Los Angeles STATE California ZIP CODE 90025-1026					
Country <u>U.S.A.</u> TELEPHONE <u>(303) 740-1980</u> FAX <u>(303) 740-6962</u>					
Name (PRINT/TYPE): Mark J. Fink Registration No.: 45, 270  Signature: Date: December 29, 2000					

Docket No.: 42390P9878 Express Mail No.: EL580086899US



PTO/SB/17(11-00)

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FEE TRANSMITTAL FOR FY 2001								
TOTAL AMOUNT OF PAYMENT (\$) 898.00								
Complete if Known:								
Application No. Not Yet Assigned								
Filing Date Concurrently Herewith								
	First Named Inventor Manoj Khare							
Examiner Na	Group Art Unit Not Yet Assigned Examiner Name Not Yet Assigned							
Attorney Docket No. 42390P9878								
METHOD OF PAYMENT (check one)								
1. [ X	. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:							
	Deposit Account Number 02-2666  Deposit Account Name							
ιx	] Charge	Any Ado	ditional Fee Required Under 37 CFR 1	.16 and	I 1.17			
[	] Applica	ant claim	s small entity status. See 37 CFR 1.2	7		100070000000000000000000000000000000000		
2. <u>X</u>	Payme	nt Enclos	sed: X Check					
	,		Credit Card					
			Money Order					
			Other					
FEE CALC	ULATION							
1. <u>BASI</u>	C FILING F	EE				•		
Large Entity	Small I	Entity						
Fee Fe		Fee						
Code (\$)		(\$) 355	Fee Description			Fee Paid		
101 710 106 320		355 160	Utility application filing fee Design application filing fee			710.00		
107 49		245	Plant filing fee			<del></del>		
108 71		355	Reissue filing fee					
114 15	0 214	75	Provisional application filing fee					
				01101		740.00		
				SUB	TOTAL (1)    \$_ 	710.00		
2. <u>EXTR</u>	RA CLAIM F	EES	Entre Oleine		Fee from	Eac Paid		
			Extra Claims		<u>below</u> _	<u>Fee Paid</u>		
Total Claim			<b>- 20** =</b> <u>6</u>	X				
Independe	_	4_	- 3** = <u>1</u>	Х	80.00 =			
Multiple De						<u> </u>		
**Or number previously paid, if greater; For Reissues, see below.								
Large Entity Fee Fe		Entity Fee						
Code (\$)			Fee Description					
103 1			Claims in excess of 20			0.00		
102 8			Independent claims in excess of 3			0.00		
104 27	0 204	135	Multiple dependent claim, if not paid			0.00		
109 8		40	**Reissue independent claims over o			0.00		
110 1	8 210	9	**Reissue claims in excess of 20 and	over o	riginal patent	0.00		
				SU	BTOTAL (2)	\$ 188.00		
FEE CALCULATION (continued)								
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ee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description	Fee Paid		
05	130	205	65	Surcharge - late filing fee or oath			
27	50	227	25	Surcharge - late provisional filing fee			
				or cover sheet			
39	130	139	130	Non-English specification			
47	2,520	147	2,520	For filing a request for ex parte reexamination			
12	920*	112	920*	Requesting publication of SIR prior to	4		
				Examiner action			
13	1,840*	113	1,840*	Requesting publication of SIR after			
				Examiner action			
15	110	215	55	Extension for reply within first month	····		
16	390	216	195	Extension for reply within second month			
17	890	217	445	Extension for reply within third month			
18	1,390	218	695	Extension for reply within fourth month			
28	1,890	228	945	Extension for reply within fifth month			
19	310	219	155	Notice of Appeal			
20	310	220	155	Filing a brief in support of an appeal			
21	270	221	135	Request for oral hearing			
38	1,510	138	1,510	Petition to institute a public use proceeding			
40	110	240	55	Petition to revive – unavoidable			
41	1,240	241	620	Petition to revive - unintentional			
42	1,240	242	620	Utility issue fee (or reissue)			
43	440	243	220	Design issue fee			
44	600	244	300	Plant issue fee			
22	130	122	130	Petitions to the Commissioner			
23	130	123	130	Petitions related to provisional applications			
26	180	126	180	Submission of Information Disclosure Stmt			
81	40	581	40	Recording each patent assignment per property (times number of properties)			
46	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))			
49	710	249	355	For each additional invention to be examined			
				(see 37 CFR 1.129(b))			
79	710	279	355	Request for Continued Examination (RCE)			
69	900	169	900	Request for expedited examination of a design application			
Other f	ee (specif	·y)					
Other f	ee (specit	·y)					
				SUBTOTAL (3)	\$		
Reduc	ed by Basic	Filing Fee	Paid	302.0.m2 (o)	¥		
SUBM	ITTED B	<u>Y</u> :					
yped	or Printe	ed Name:	Mark	J. Fink			
Signat	ture:	St	4.4	Date: December 29,	2000		

WARNING: Information on this form may become public. Credit Expension and authorization on because with the United States Postal Service Express <u>EL580086899us</u> Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

		December 29, 2000
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12-29.00 Signature

Docket No.: 42390P98 Express Mail No.: EL5